

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>C12P 7/40, 7/64, C11C 3/00, 3/02, A61K 31/20, 31/23, 7/00, 7/48 // (C12P 7/40, C12R 1:645) (C12P 7/64, C12R 1:645)</b>		A1	(11) International Publication Number: <b>WO 96/21037</b> (43) International Publication Date: <b>11 July 1996 (11.07.96)</b>
(21) International Application Number: <b>PCT/US96/00182</b> (22) International Filing Date: <b>3 January 1996 (03.01.96)</b>		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AZ, BY, KZ, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(30) Priority Data: 08/367,881 3 January 1995 (03.01.95) US		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(60) Parent Application or Grant (63) Related by Continuation US Filed on 08/367,881 (CIP) 3 January 1995 (03.01.95)			
(71) Applicant ( <i>for all designated States except US</i> ): MARTEK BIOSCIENCES CORPORATION [US/US]; 6480 Dobbin Road, Columbia, MD 21045 (US).			
(72) Inventor; and (75) Inventor/Applicant ( <i>for US only</i> ): KYLE, David, J. [US/US]; 1801 Narberth Road, Catonsville, MD 21228 (US).			
(74) Agents: POSORSKE, Laurence, H. et al.; Baker & Botts, L.L.P., The Warner, 1299 Pennsylvania Avenue, N.W., Washington, DC 20004 (US).			
(54) Title: ARACHIDONIC ACID AND METHODS FOR THE PRODUCTION AND USE THEREOF			
(57) Abstract			
<p>The present invention relates to processes for the production of arachidonic acid containing oils, which preferably are substantially free of eicosapentanoic acid. The invention also relates to compositions containing oils having very high amounts of arachidonic acid in triglyceride form, and to uses of such oils. In a preferred embodiment, <i>Mortierella alpina</i> is cultivated using conditions which yield triglyceride oil having particularly high levels of arachidonic acid residues, biomass is harvested and the oil is extracted, recovered, and used as an additive for infant formula.</p>			

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LJ	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## ARACHIDONIC ACID AND METHODS FOR THE PRODUCTION AND USE THEREOF

### FIELD OF THE INVENTION

This invention relates to the production of arachidonic acid, to  
5 compositions containing arachidonic acid and to uses thereof.

### BACKGROUND OF THE INVENTION

Arachidonic acid (ARA) is a long chain polyunsaturated fatty acid (PUFA) of the omega-6 class (5, 8, 11, 14-eicosatetraenoic acid, i.e., 20:4). ARA is the most abundant C<sub>20</sub> PUFA in the human body. It is particularly  
10 prevalent in organ, muscle and blood tissues, serving a major role as a structural lipid associated predominantly with phospholipids in blood, liver, muscle and other major organ systems. In addition to its primary role as a structural lipid, ARA also is the direct precursor for a number of circulating eicosenoids such as prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), prostacyclin I<sub>2</sub> (PGI<sub>2</sub>), thromboxane A<sub>2</sub> (T<sub>xA</sub><sub>2</sub>), and  
15 leukotirenes B<sub>4</sub> (LTB<sub>4</sub>) and C<sub>4</sub> (LTC<sub>4</sub>). These eicosenoids exhibit regulatory effects on lipoprotein metabolism, blood rheology, vascular tone, leucocyte function and platelet activation.

Despite its importance to human metabolism, ARA cannot be synthesized in humans *de novo*. ARA is synthesized by the elongation and desaturation of  
20 linoleic acid (LOA), an essential fatty acid. This process requires the presence of the enzyme  $\Delta$ 6-desaturase, an enzyme present in the human body in low levels, Burre et al., *Lipids*, 25:354-356 (1990). Accordingly, most ARA must be provided in the diet, and this is especially important during times of very rapid body growth, such as infancy.

25 During the first year of its life, an infant can double or triple its weight. Consequently, elevated levels of dietary ARA are required. To satisfy this increased demand, human breast milk contains high levels of ARA. Sanders et al., *Am. J. Clin. Nutr.*, 31:805-813 (1978). ARA is the most prevalent C<sub>20</sub> PUFA in breast milk. Of those mothers, especially vegetarians, who do breast-  
30 feed their infants, many would benefit from additional dietary ARA. However,

many mothers do not breast feed their infants, or do not breast feed for the entire period of rapid infant growth, choosing instead to utilize an infant formula.

No commercial infant formulas known to Applicant contain ARA in 5 triglyceride form. U.S. Patent No. 4,670,285 (Clandinin et al.), incorporated herein by reference, discloses the infant's requirement for fatty acids including ARA. To provide these fatty acids, Clandinin et al. suggest a blend of egg yolk, fish oil or red blood cell phospholipids and vegetable oils as the fat component of a proposed infant formula. However, fish oil contain high quantities of 10 eicosapentaneoic acid (EPA). EPA is known to depress ARA synthesis in infants. Carlson, et al., INFORM, 1:306 (1990). Thus, it would be desirable to be able to provide ARA without also providing additional EPA. Furthermore, egg yolks contain a relatively low concentration of ARA, such that Clandinin et al.'s mixture is not economically viable.

15 Because ARA is present in animal, but not vegetable, oils, its production in commercial quantities has remained a desirable, but elusive, goal. Shinmen, et al., Microbiol. Biotech. 31:11-16 (1989), have reported the production of ARA by an isolated fungus, *Mortierella alpina*, using conventional stirred tank fermentation. (See also Japanese Patent 1,215,245 to Shinmen et al.). After 20 culturing, the organisms are harvested, dried and their lipids extracted from the fungal biomass with an organic solvent and the lipids chemically (covalently) modified. For example, the lipid mixture is hydrolyzed or converted to ethyl esters and then combined with cyclodextrin prior to use as a dietary supplement. Shinmen et al. do not disclose or suggest the administration of unmodified 25 microbial oils.

*Porphyridium cruentum*, a red microalgae, can be grown in ponds in large quantities and has a lipid content which can contain up to 40% ARA. Ahern, et al. Biotech. Bioeng. 25:1057-1070 (1983). Unfortunately, the ARA

is primarily associated with galactolipids, a complex polar lipid not present in breast milk. Thus, not only is the total usable ARA produced a fraction of one percent of the biomass, but the form of the ARA is not suitable for use as an additive to infant formula without further modification.

5 U.S. Patent No. 4,870,011 (Suzuki et al.) discloses a method for obtaining lipids such as  $\gamma$ -linolenic acid from fungi of the genus Mortierella. The  $\gamma$ -linolenic acid is purified from the mixture of lipids contained in the fungi.

DE 3603000A1 (Milupa) discloses a highly polyunsaturated acid fat mixture and its use as the fat component of an infant formula. The fat mixture 10 has a high content of ARA and docosahexanoic (DHA) acids in a ratio of 2.5:1 respectively, as well as a high content of cholesterol. Sources of the fatty acids are listed as being certain types of macroalgae, fish oils, organ fats from beef and pork or highly refined egg yolk oil. A source of the DHA and ARA is said to be macroalgae of the phaeophyte and rhodophyte types. There is no 15 suggestion to use any microbes as a source of oil. Algal and fish oils also typically include EPA which depresses ARA synthesis *in vivo*. Additionally, highly refined egg yolk oil is not an economical source of ARA. Moreover, there is no disclosure therein of an ARA-concentrated additive for supplementing pre-existing infant formula.

20 Accordingly, there remains a need for an economical, commercially feasible method of producing ARA, preferably without concomitant production of EPA. It is an object of the present invention to satisfy that need.

It is a further object of the invention to provide an additive, and a source 25 for that additive, for use in an infant formula such that the ARA levels in the formula approximate those levels in human breast milk.

It is an additional object of this invention to provide an ARA-containing fungal oil for use in enteral, parenteral or dermal products.

**SUMMARY OF THE INVENTION**

This invention relates to the production and use of arachidonic acid containing fungal oil (ARASCO) and to compositions containing such oils. The oil can be referred to as a single cell oil. Fungi are cultivated under oil-producing conditions, harvested and the oil extracted and recovered. The oil, without further chemical modification, can be used directly to provide supplemental ARA to persons requiring such, including newborn infants, pregnant or nursing women or persons exhibiting ARA-deficient pathologies.

Advantages of the invention include its ease of production, and high purity, and

lack of detectable amounts of EPA.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

"ARA" and "EPA" are also used herein to refer to residues of arachidonic acid and eicosapentaneoic acid, respectively, where the residues are esterified to glycerol as part of a fatty acyl triglyceride or a phospholipid. As used herein, a composition is "essentially free of EPA" when the residual amount of EPA in the composition is less than the amount that would depress ARA synthesis when the composition is used as a nutritional supplement. The present invention succeeds in providing an economical source of arachidonic acid (ARA).

In one embodiment, this invention relates to a method for the production of an arachidonic acid-containing fungal oil (ARASCO) which is substantially free of eicosapentaneoic acid (EPA). As used herein, "substantially free" means that the EPA is present in less than about one fifth of the amount of ARA in the oil. This oil, a single cell oil, can be administered directly, in an unmodified form. As used herein "unmodified" means that the chemical properties of the fatty acids, or the oils themselves, have not been covalently altered. Thus, for example, a temporary modification to the ARASCO or ARA which could be reversed following uptake of the oil would not be beyond the scope of this

invention.

Unmodified fungal oils according to this invention provide triglycerides in which a relatively high proportion of the fatty acid residues are ARA (preferably at least 40% of the fatty acid residues are ARA, more preferably at least 50% of the residues are ARA), and the ratio of ARA residues to EPA residues is also high (at least 5:1, preferably at least 20:1, w/w). Such an oil from natural sources has not been described prior to the present invention. While triglycerides with such composition may be chemically synthesized (e.g., by esterifying free fatty acid mixtures high in ARA or transesterifying with ethyl esters of such a fatty acid mixture), the manipulation of the fatty acid mixture (e.g., purification, esterification, etc.) may introduce unwanted side-products. In contrast, the method of this invention provides triglycerides having the desired composition by extraction from natural sources.

15 Table 1. Fatty Acid Composition of Several Fungal Species

Species	Fatty Acid									Total Fat
	14:0	16:0	16:1	18:1	18:2	18:3	20:4	20:5		
<i>Mortierella alpina</i>	--	8.2	--	33.5	16.3	23.3	13.0	--	3.0	
<i>Mortierella elongata</i>	2.0	13.2	--	26.6	11.9	13.2	13.8	2.4	4.0	
<i>Mortierella isabellina</i>	0.3	15.7	0.8	55.8	11.1	9.0	--	--	7.3	
<i>Saprolegnia parasitica</i>	7.4	19.1	1.9	6.3	24.5	12.5	10.5	10.5	9.3	
<i>Pythium catenularum</i>	6.5	9.9	10.3	21.2	18.5	3.5	13.4	10.9	5.0	
<i>Pythium coloratum</i>	13.6	9.9	--	14.7	10.9	2.5	24.3	21.7	2.2	
<i>Pythium gracile</i>	14.7	9.1	2.2	14.8	12.6	3.6	22.1	5.7	4.5	
<i>Pythium irregularare</i>	10.3	15.4	6.9	12.3	21.0	3.9	10.6	12.4	11.9	
<i>Pythium ultimum</i>	9.5	16.7	10.5	17.1	20.7	1.3	9.0	6.9	13.3	
<i>Pythium insidiosum</i>	9.5	11.4	12.1	1.0	8.3	9.3	31.9	--	2.8	

Of those fungal species which previously have had their fatty acids characterized, it has been found that most do not make ARA. Weete, J.D., Fungal Lipid Biochemistry, Plenum Press, N.Y. (1974). Of those species which do make ARA, many, including all previously characterized *Pythium* species,

- 5 produce significant quantities of eicosapentaenoic acid (EPA) in addition to ARA. Table 1 sets forth the fatty acid profile of *P. insidiosum* as well as the fatty acid profile of other species of fungi. Unexpectedly, it has been found that *P. insidiosum* produces ARA without concomitant production of EPA. As with fish oils, high EPA levels in dietary supplements result in a depression of the
- 10 ability to form ARA from dietary linoleic acid (LOA). Accordingly, while those fungal species producing both ARA and EPA can be utilized in the process of this invention, it is preferable to use species which do not produce significant quantities of EPA. Such preferred species include *Pythium insidiosum* and *Mortierella alpina*. Both species are available commercially and are on deposit
- 15 with the American Type Culture Collective in Rockville, Maryland, having accession numbers 28251 and 42430, respectively. *P. insidiosum* and *M. alpina* have been used as representative fungal species throughout this disclosure. Of course, other fungal species which produce triglyceride containing ARA and reduced EPA as described herein are also contemplated within this invention.

- 20 One of the significant problems which an embodiment of the present invention overcomes, is the depression of ARA biosynthesis in infants caused by the presence of enhanced dietary levels of EPA. This problem can be corrected by providing ARA for use in infant formula at levels substantially similar to those found in human breast milk. Typically in human breast milk,
- 25 the ratio of ARA:EPA is about 20:1 respectively. The present invention specifically contemplates any microbial oil which provides a sufficient amount of ARA to overcome the negative effects of dietary EPA. Preferably, the use of the ARA-containing oil will result in an ARA:EPA ratio of at least about 5:1.

More preferably, the ratio will be at least about 10:1 and, most preferably, it will be at least about 20:1. As can be seen, the higher the amount of ARA in the end product, with respect to the amount of EPA, the more desirable is the result.

5 In a process of the present invention, the fungi are cultivated under suitable ARA-containing oil producing cultivating conditions. In general, techniques of fungal cultivation are well known to those of skill in the art and those techniques can be applied to the present inventive process. For example, cultivation of an inoculating amount of fungus can occur in submerged culture  
10 10 in shake flasks. The flasks are provided with a growth medium, seeded with fungal mycelium, and grown on a reciprocating shaker for about three to four days.

The composition of the growth medium can vary but always contains carbon and nitrogen sources. A preferred carbon source is glucose, amounts of  
15 which can range from about 10-100 grams glucose per liter of growth medium. Typically about 15 grams/liter are utilized for shaker flask culture. The amount can be varied depending upon the desired density of the final culture. Other carbon sources which can be used include molasses, high fructose corn syrup, hydrolyzed starch or any other low cost conventional carbon source used in  
20 fermentation processes. Additionally, lactose can be provided as a carbon source for *P. insidiosum*. Thus, whey permeate, which is high in lactose and is a very low cost carbon source, can be used as a substrate. Suitable amounts of these carbon sources can readily be determined by those of skill in the art.  
Usually, additional carbon needs to be added during the course of the  
25 cultivation. This is because the organisms use so much carbon that adding it all in a batch mode could prove unwieldy.

Nitrogen typically is provided in the form of yeast extract at a concentration of from about 2 to about 15 grams extract per liter of growth

medium. Preferably, about four grams per liter are provided. Other nitrogen sources can be used, including peptone, tryptone, corn steep liquor, soy flour, hydrolyzed vegetable protein, etc. The amount to be added of these sources can easily be determined by those of skill in the art. Nitrogen can be added in a 5 batch mode, i.e. all at one time prior to cultivation.

After cultivation for 3-4 days at a suitable temperature, typically about 25-30°C, an amount of fungi has grown which is sufficient for use as an inoculum in a conventional stirred tank fermentor (STF). Such fermentors are known to those of skill in the art and are commercially available. Fermentation 10 can be carried out in batch, fed-batch, or continuous fermentation modes. Preferably, the STF is equipped with a marine impeller, although a Rushton-type turbine impeller can also be used.

The fermentor is prepared by adding the desired carbon and nitrogen sources. For example, a 1.5 liter fermentor can be prepared by mixing about 15 50 grams of glucose and about 15 grams of yeast extract per liter of tap water. As previously discussed, other carbon or nitrogen sources or mixtures thereof can be used.

The reactor containing the nutrient solution should be sterilized by, for example, heating prior to inoculation. After cooling to about 30°C, the 20 inoculum can be added, and cultivation initiated. Gas exchange is provided by air sparging. The air sparging rate can vary, but preferably is adjusted to from about 0.5 to about 4.0 VVM (volume of air per volume of fermentor per minute). Preferably the dissolved oxygen level is kept at from about 10% to about 50% of the air saturation value of the solution. Accordingly, adjustments 25 in the sparge rate may be required during cultivation. Agitation is desirable. The agitation is provided by the impeller. Agitation tip speed preferably is set within the range of from about 50 cm/sec to about 500 cm/sec, preferably from about 100 to 200 cm/sec.

In general, the amount of inoculum can vary. Typically, from about 2% to about 10% by volume of inoculum can be used. Preferably, in a fermentor seed train about 5% by volume of inoculum can be used.

Nutrient levels should be monitored. When glucose levels drop below 5 g/l, additional glucose should be added. A typical cultivation cycle utilizes about 100 grams of glucose and about 15 grams of yeast extract per liter. It is desirable to deplete the nitrogen during the course of the cultivation as this enhances oil production by the fungi. This is especially true when *M. alpina* is used as the production organism.

10 In a particularly preferred embodiment, *Mortierella alpina* with high oil content including high levels of ARA may be cultured in a fermentor using very high nutrient levels. It has been unexpectedly discovered that levels of nitrogen-containing nutrient in excess of that provided by 15 grams/liter of yeast extract may be added at the beginning of the fermentation, so long as the total amount 15 of carbon-containing nutrient added during the fermentation is comparably high. The total amount of carbon nutrient, preferably fed continuously or intermittently for the first 25-50% of the fermentation time course, or in aliquots at multiple time points over the same portion of the time course, will preferably be equivalent to 75-300 grams of glucose per liter of culture medium (C:N ratio 20  $\geq$  5:1, expressed as w/w glucose:yeast extract). In an especially preferred mode, the nitrogen nutrient is soy flour, added at a level of about 16 grams per liter of medium, and the carbon nutrient is present initially at a level equivalent to about 80 grams of glucose or greater. When using high levels of carbon and nitrogen nutrients, it is preferable to sterilize solutions containing the two 25 nutrient solutions separately. It has also been discovered that biomass yield may be enhanced for fermentations containing high levels of carbon nutrients by withholding part of the nitrogen nutrient and feeding the remaining nitrogen nutrient continuously or in one or more aliquots during the course of the

fermentation.

Occasionally, the culture will produce an excessive quantity of foam. Optionally, an antifoaming agent, such as those known to those of skill in the art, e.g. Mazu 310® or vegetable oil, can be added to prevent foam.

5       The temperature of cultivation can vary. However, those fungi which produce both ARA and EPA tend to produce less EPA and more ARA when cultivated at higher temperatures. For example, when *Mortierella alpina* is cultivated at less than 18°C, it begins to produce EPA. Thus it is preferable to maintain the temperature at a level which induces the preferential production of

10      ARA. Suitable temperatures are typically from about 25°C to about 30°C.

Preferably, cultivation continues until a desired biomass density is achieved. A desirable biomass is about 25 g/l of the organism. Such a biomass typically is attained within 48-72 hours after inoculation. At this time, the organisms typically contain about 5-40% complex lipids, i.e. oil, of which about

15      10-40% is ARA or preferably at least 40% ARA residues in the triglyceride fraction, more preferably at least 50% ARA in the triglyceride fraction, and can be harvested.

Fungal fermentation for ARA production according to this invention may be carried out in fermentation medium with pH between about 5 and 8.

20      However, yields of biomass, oil and ARA from cultures of *M. alpina* can be enhanced by profiling the pH of the medium, rather than allowing uncontrolled pH rise. Yields may also be enhanced by maintaining high oxygen levels during the fermentation. These modifications of fermentation procedure are especially effective when using high nutrient levels in the fermentor.

25      When the initial nitrogen nutrient level exceeds the equivalent of about 15 grams of yeast extract per liter, and/or the carbon nutrient level exceeds the equivalent of about 150 grams glucose per liter, growth of fungi may be inhibited. This growth inhibition may be overcome by fed batch fermentation,

for example by dividing the nutrient for the fermentation into aliquots which are fed into the fermentor sequentially, once part or all of the nutrient supplied by the previous aliquot has been metabolized. The benefit of overcoming growth inhibition may be accomplished by feeding only the carbon nutrient (see 5 Shinmen, et al.). It has been discovered that this benefit may also be obtained by dividing the total nutrient into aliquots and feeding the aliquots during the fermentation, or by feeding the nutrient solution continuously. Similarly, it has been unexpectedly been discovered that the benefit may be achieved by feeding the nitrogen nutrient only to a fermentation in which the carbon nutrient is 10 present initially at a high level.

It has also been unexpectedly discovered that growth inhibition can be mitigated by pH profiling of the fermentation, by maintaining high oxygen tension in the fermentor, or both. It has been discovered that fermentation of *M. alpina* in high nutrient media at low pH (pH = 5-6) results in enhanced 15 biomass growth (and also in increased oil yield). However, the oil produced under these conditions has lower levels of ARA residues in the oil. Contrariwise, fermentation at high pH (pH = 7-7.5) results in increased levels of ARA in the oil, but poorer growth. In a preferred mode, the fermentation method of this invention involves pH profiling wherein the pH is low during the 20 early stages of the fermentation and high during the late stages. Early stages include periods of rapid (exponential) growth during which nutrients are rapidly metabolized; late stages include the stationary phase, when cell division is arrested, usually due to insufficient amounts of one or more nutrients, and the production of ARA-rich oil is enhanced. Profiling can be done by controlling 25 fermentor pH at levels that are adjusted in two or more steps spaced over the fermentation period.

It has likewise been discovered that maintaining the dissolved oxygen content of the medium (D.O.) at high levels (e.g., >40% of air saturation level)

will result in relief of the growth inhibition by high nutrient levels and/or increase the relative level of ARA residues in the oil. The D.O. may be maintained at a high level by increasing vessel pressure (forcing more air into the fermentor head space), increasing agitation (e.g., increasing the impeller tip speed), and increasing aeration (i.e., increasing the amount of air passing through the fermentor in a given time, usually expressed as increase in VVM, volumes of air per fermentor volume per minute) and/or by increasing the O<sub>2</sub> content of the sparge gas. Fermentation under these conditions has been found to increase carbon utilization, resulting in higher final biomass concentration and greater productivity of ARA-rich oil in the fermentor. In particular, fermentations incorporating of one or more of the above modifications result in production of extractable triglyceride oil having at least 40% ARA residues, and preferably at least 50% ARA residues.

In a particularly preferred embodiment, the fermentation medium contains carbon nutrient equivalent to  $\geq 80$  g/L glucose and nitrogen nutrient equivalent to  $\geq 16$  g/L yeast extract, and the medium is adjusted to pH between 5 and 6 subsequent to sterilization. After inoculation, the pH of the medium is controlled at or slightly above its initial level. Once the carbon nutrient level has dropped to  $\leq 60$  grams glucose equivalent/liter (usually about 48 hours), the set point for pH control is changed to about pH  $\geq 6$ . At or about the time when the oxygen uptake rate (and/or the carbon dioxide evolution rate, CER) reaches its maximum (usually after about 72 hours), the setpoint is raised to pH between 6.5 and 7 (usually incrementally, e.g., at a rate of about 0.1 pH units per hour). The pH is then controlled to keep it below about pH = 7-7.5 for the final stages of the fermentation.

For this embodiment, dissolved oxygen level in the medium (D.O.) is maintained near or above 40% of air saturation level, preferably by sequentially increasing vessel pressure to 11 psi, increasing agitation to the equivalent of

about 300 cm/sec impeller tip speed, and increasing aeration to about 0.5 volumes of air per fermentor volume per minute. After a period of rapid growth and high O<sub>2</sub> uptake by the fermentation, growth (and O<sub>2</sub> uptake) will decrease.

Agitation/aeration can be reduced at this point, so long as D.O. is maintained

5 at a high level, usually above about 40% air saturation.

By optimizing the fermentation of *M. alpina* as described herein, it is possible to obtain very high yields of biomass containing 20-60% oil in the biomass, where 25-70% by weight of the oil is ARA residues in triglyceride form. The biomass (and oil) may be harvested as described herein. Preferably,

10 biomass will be harvested from the fermentor within 48 hours of reaching maximum productivity, measured as grams ARA/L/day.

Harvesting can be done by any suitable method such as, for example, filtration, centrifugation, or spray drying. Because of lower cost, filtration may be preferred.

15 After harvesting, the mycelial cake can be extracted. The mycelial cake refers to the collection of biomass resulting after harvest. The cake can be loose or pressed, crumbled or uncrumbled. Optionally, the cake can have any residual water removed, as by vacuum drying, fluid bed drying, spray drying or lyophilization, prior to extraction. If this option is selected, it is preferable to

20 use nonpolar solvents to extract the ARA-containing oil. While any non-polar extract is suitable, hexane is preferred.

In a preferred embodiment, oil is extracted from the dried biomass by wet grinding or percolation with virgin hexane. Solvent is usually added at a solvent-to-biomass ratio of about 5:1 (w/w). After wet grinding, solids are

25 separated from the extract by decanting or centrifugation. It is advantageous to maintain the solvent-containing extract (miscella) anaerobically to avoid oxidation of the unsaturated fatty acid residues in the oil. Miscella is desolventized to produce a crude fungal oil.

Crude oil extracted from fungal biomass with non-polar solvents can be cloudy, particularly when the biomass is ground, because grinding may release fine particles such as cell wall fragments and soluble polysaccharides.

Clarification of such cloudy oil may be accomplished by dissolving the crude oil

- 5 in more polar solvents, such as acetone or alcohol. In a preferred embodiment, crude oil extract of fungal mycelia is further clarified by acetone extraction/precipitation. An acetone miscella is prepared by adding acetone to cloudy crude oil extract (preferably to a level of about 20% oil; i.e., about 4 volumes of acetone per volume of crude oil), mixing thoroughly and allowing
- 10 the mixture to stand for a period sufficient for precipitation of the fine particles (usually about an hour room temperature). The oil-containing acetone miscella is clarified by centrifugation and/or filtration, and then desolvanted to produce acetone-clarified fungal oil. Acetone-clarified fungal oil is preferred for further processing (e.g., degumming, bleaching and deodorizing by conventional
- 15 techniques) because the fines produced during extraction of the fungal biomass will interfere with the refining processes if not removed in the acetone step.

Another preferred embodiment involves the counter-current extraction of dry biomass, which, may be carried out in commercially available extraction units, for example, those manufactured by Crown Ironworks (Crown Mark IV)

- 20 or French, Inc., that are not generally used to extract vegetable oils, but were designed to extract dirt and soil. Although extraction efficiencies are not as high without the regrinding of the biomass, the counter current extraction procedure has the advantage of producing fewer "fines" thereby reducing the technical difficulty in recovering a clear refined oil.

- 25 Alternatively, the wet cake (which typically contains about 30-50%

solids) can be crumbled and extracted directly using polar solvents such as ethanol or isopropyl alcohol, or supercritical fluid extraction with solvents such as CO<sub>2</sub> or NO. Preferably, the cakes are crumbled prior to extraction. Advantageously, the present invention permits the economical use of 5 supercritical fluid extraction techniques. McHugh, et al., Supercritical Fluid Extraction, Butterworth (1986). Such techniques are known to those of skill in the art and include those presently applied, for example, to decaffeinate coffee beans.

A preferable method of aqueous extraction involves mixing the mycelial 10 biomass with the polar solvent isopropyl alcohol in a suitable reaction kettle. Such kettles are known. The use of three to six parts of solvent per part of biomass is desired. Most preferably, the mixing is done under nitrogen or in the presence of antioxidants to prevent the oxidation of the ARA in the lipid extract. As used herein "lipid extract", "oil", "lipid complex" and "fungal oil" are used 15 interchangeably.

After extracting, the mixture can be filtered to remove the biomass from the solvent containing the lipid extract. At this point, the biomass can be recovered and used as a food supplement. As used herein, "food supplement" means feed or an additive to be mixed with typical feed, such as grain, etc., that 20 can be provided to animals.

The solvent is separated from the lipid extract and also can be recovered for reuse, as by evaporation into a suitable collector, leaving what is referred to herein as the "crude oil." Use of isopropyl alcohol as the solvent desirably results in the removal of any residual water from the crude oil, as the 25 evaporation removes the water/isopropyl alcohol azeotrope which has spontaneously formed.

While the crude oil can be used without further treatment, it also can be further purified. Processes such as those used in the preparation of lecithin from

vegetable products, and known to those of skill in the art, can be used in this additional purification step. Such processes do not chemically or covalently modify the ARA-containing lipids or the ARA itself.

Yields vary, but typically are about 5 grams of ARA-containing phospholipid per 100 grams of dried mycelia. In the case of *M. alpina*, an additional 10-50 grams of triglyceride per 100 grams of dry mycelia can be obtained. Either the crude oil or the refined product can be used for administration to humans. Both shall be included within the definition of ARASCO as used herein.

10 A most preferred object of the invention is to provide an additive for use with human infant formulas, such that the concentration of ARA in such formula closely approximates the concentration of ARA in human breast milk. Table 2 compares the composition of the fatty acids in ARASCO with those in breast milk and infant formula lacking and containing ARASCO.

Table 2. Fatty Acid Composition of Fungal Oil Products And Mother's Milk

	<u>Fatty Acid</u>	<u>ARASCO</u>	<u>Infant Formula<sup>1</sup></u>	<u>Formula + Oil</u>	<u>Breast Milk</u>
5	8:0	--	24.1	23.6	0.35
	10:0	--	17.7	17.3	1.39
	12:0	--	14.9	14.6	6.99
	14:0	4.6	5.8	5.8	7.96
10	16:0	16.0	6.8	7.0	19.80
	16:1	3.2	0.2	0.3	3.20
	18:0	--	2.3	2.3	5.91
	18:1	26.4	10.0	10.3	34.82
	18:2n6	9.9	17.4	17.3	16.00
15	18:3n3	4.1	0.9	1.0	0.62
	20:1	2.2	0.1	0.14	1.10
	20:2n6	--	--	--	0.61
	20:3n6	1.4	--	0.03	0.42
	20:4n6	32.0	--	0.64	0.59
20	20:5n3	--	--	--	0.03
	22:1	--	--	--	0.10
	22:4n6	--	--	--	0.21
	22:5n6	--	--	--	0.22
	22:6n3	--	--	--	0.19

25

As can be seen, the amount of ARA present in the infant formula supplemented by ARASCO closely approximates the ARA levels in human breast milk. Additionally, the total fatty acid composition of the infant formula has not been significantly altered by the addition of the ARASCO. Typically, between about 50 to about 1000 mg of ARASCO per liter of infant formula can be used. The specific amount of ARASCO required depends upon the ARA content. This can vary from about 10 to about 70% of the fatty acids in the oil. However, typically the ARA content is about 30-50%. Preferably the oil used to supplement infant formula contains at least 40% of the fatty acid residues as

---

<sup>1</sup> Simopoulos, A., Omega-3 Fatty Acids in Health and Disease, pp. 115-156 (1990).

ARA, more preferably at least 50% as ARA residues. When the ARA content is about 30%, an especially preferred supplementation rate is about 600 to 700 mg of ARASCO per liter of infant formula. Such a rate dilutes the pre-existing fat components of an infant formula such as Similac® (Ross Laboratories, 5 Columbus, Ohio) by only one part ARASCO to fifty parts formula oils. Similar dilution rates can be calculated for oils having higher ARA contents. Preferably, the ARASCO is substantially free of EPA.

When *Pythium insidiosum* is used in the described process, the extracted ARA-containing oil is predominantly phospholipid. However, it has been 10 discovered that a significant amount of triglyceride which is high in ARA residues may also be recovered from *P. insidiosum* cultured as described herein. When *Mortierella alpina* is used in this process, the ARA-containing oil is predominantly triglyceride. Both forms of ARASCO are useful as additives to infant formula. The former not only provides the formula with ARA, but also 15 with an emulsifier, i.e., phosphatidyl choline, which is commonly added to commercial formulas. The oil from *M. alpina* is likely to be more economical to produce.

The ARA-containing oil of the present invention has many uses in addition to its use as an additive for infant formula. As known to those of skill 20 in the art, there are many pathologies associated with ARA deficiencies, such as marasmus (Vajreswari, et al., *Metabolism* 39:779-782 (1990)), atopic diseases (Melnik, B., *Monatsschr. Kinderheilta.*, 138:162-166 (1990)), liver disease, phenyl ketonuria, schizophrenia, tardive dyskinesia or various peroxisomal disorders. In one embodiment of the present invention, those pathologies are 25 treated by administering a pharmaceutically effective amount of the oil of the present invention. Typically the pharmaceutically effective amount is the amount required to normalize the serum level of ARA in the patient. Particularly preferred for supplementation to treat such pathologies are the high

ARA oils described above, especially oils having at least 40% ARA, or more preferably 50% ARA residues. The oil can be administered enterally, topically or parenterally, as selected by the provider of health care.

Encapsulation, as known by those of skill in the art, is an effective 5 method of enteral administration. Capsules containing the fungal oil can be administered to those persons requiring or desiring dietary supplementation of ARA. Such a method is particularly effective for administering ARA to pregnant or nursing women.

In instances where ARASCO is being administered to combat ARA 10 deficiency associated pathologies, a pharmaceutically effective amount should be administered. This amount can be determined by those of skill in the art without undue experimentation. Typically this amount is 0.5-2.0 g/day, which will usually normalize the serum level of ARA.

Another embodiment of the present invention entails cosmetic 15 compositions containing ARASCO, such as the high ARA oils described herein. Cosmetic compositions refer to those compounds applied as cosmetics. A preferred example of such a composition is a wrinkle cream. Such cosmetic compositions provide an effective means of topically applying ARA to skin to assist in maintaining skin tone.

20 The invention having been generally described, the following specific non-limiting examples are set forth to further illustrate the invention.

**Example 1. Preparation of *P. insidiosum* lipid and addition to infant formula**

In an 80 liter (gross volume) fermentor, 51 liters of tap water, 1.2 kg 25 glucose, 240 grams of yeast extract and 15 ml of MAZU 210S® antifoam were combined. The fermentor was sterilized at 121°C for 45 minutes. An additional 5 liters of condensate water were added during the sterilization process. The pH was adjusted to 6.2, and approximately 1 liter of inoculum (at

a cell density of 5-10g/l) of *Pythium insidiosum* (ATCC #28251) then was added. The agitation rate was adjusted to 125 RPM (250 cm/sec tip speed) and the aeration rate was set at 1 SCFM (standard cubic feet per minute). At hour 24 in the operation the aeration rate was increased to 3 SCFM. At hour 28 an  
5 additional 2 liters of 50% glucose syrup (1 kg glucose) were added. At hour 50 the fermentor was harvested, resulting in a yield of about 2.2 kg wet weight (approximately 15 g dry weight) per liter. Harvested biomass was squeezed to a high solids cake (50% solids) on a suction filter before freeze drying. The dried biomass was ground with a mortar and pestle and extracted with 1 liter of  
10 hexane per 200 grams of dry biomass at room temperature under continuous stirring for 2 hours. The mixture then was filtered and the filtrate evaporated to yield about 5-6 grams of crude oil per 100 grams of dry biomass. The biomass then was reextracted with 1 liter of ethanol per 20 grams of dry biomass for 1 hour at room temperature, filtered, and the solvent evaporated yielding an  
15 additional 22 grams of crude oil per 100 grams of dry biomass. The second fraction was predominantly phospholipids whereas the first fraction contained a mixture of phospholipids and triglycerides. The combined fractions produced an oil containing about 30-35% arachidonic acid and no detectable EPA. This oil was added dropwise to the commercial infant formula product Similac® (Ross  
20 Laboratories, Columbus, Ohio) at a supplementation rate of 60 mg per liter of prepared medium.

**Example 2. Preparation of *M. alpina* lipid and addition to infant formula**

*Mortierella alpina* (ATCC #42430) was grown in a 2 liter shake flask  
25 containing 1 liter of tap water and 20 grams of potato dextrose medium. The flask was under constant orbital agitation and was maintained at 25°C for seven days. After harvesting by centrifugation, the biomass was freeze dried yielding about 8 grams of lipid-rich mycelia. The mycelia was extracted using hexane

as in example #1 and about 2.4g of crude oil resulted. This oil contains about 23% arachidonic acid and was added to the commercial formula Similac® dropwise in concentrations of 1000 mg per liter.

**Example 3. Large Scale Production of Arachidonic Acid by *M.***

5                   *alpina*

Inoculation fermentor containing medium GYE (50 g/L dextrose and 6 g/L Tastone 154) is inoculated with *M. alpina*. Fermentation temperature is set at 28°C, initial agitation at 130-160 cm/sec, initial vessel pressure at 6 psi, and initial aeration rate at 0.25 VVM. pH is adjusted to 5.0 presterilization, and  
10 initial fermentation pH is set to 5.5 post-sterilization. Medium is maintained at pH ≥ 5.5 with 8N NaOH. Oxygen level is maintained at D.O. ≥ 40% by adjusting agitation/aeration in the following sequence: increase vessel pressure to 11 psi; increase agitation to 175 cm/sec impeller tip speed; and increase aeration to 0.5 VVM. Foaming is controlled by addition of Dow 1520-US  
15 antifoam as needed. (Approximately 0.1 ml/L of the antifoam should be added to the medium prior to sterilization to help prevent foaming.)

Transfer inoculum from seed fermentor to main fermentor within 12 hours after pH rises above 6.0.

The main fermentor contains GYE medium (50 g/L dextrose and 6 g/L  
20 Tastone 154); glucose is sterilized separately and added to the main fermentor after sterilization. Fermentor temperature is set at 28°C, initial agitation at 160 cm/sec, initial vessel pressure at 6 psi, and initial aeration rate at 0.15 VVM. Initial pH is set to 5.5 post-sterilization, and maintained at pH ≥ 5.5 with 8N NaOH. pH is allowed to rise during stationary phase (beginning about 24 hours  
25 after inoculation), but maintained below pH 6.8 with H<sub>2</sub>SO<sub>4</sub> addition. Oxygen level is maintained at D.O. ≥ 40% by sequentially increasing vessel pressure to 11 psi, increasing agitation to 175 cm/sec impeller tip speed, and increasing aeration to 0.5 VVM. Foaming is controlled by addition of antifoam Dow 1520-

US, as needed. (Approximately 0.1 ml/L of the antifoam should be added to the medium prior to sterilization to help prevent foaming).

The culture is sampled every 12 hours for biomass and fatty acid analysis, and harvest is initiated 3-4 days after pH rises to 6.5. Dry biomass  
5 density should be  $\geq$  8.5 g/L. Glucose concentration in the broth should have dropped from 50 g/L to  $\leq$  25 g/L. At harvest, the whole culture broth is passed through a basket centrifuge to separate the mycelia from the spent medium, and the biomass is dried.

**Example 4. Improved yield of Biomass from *M. alpina* - First Run**

10 *M. alpina* was cultured in 20 L stirred tank fermentors, inoculated from shake flask culture, according to the procedure in Example 3. Culture of *M. alpina* in 65 g/L glucose (Staleydex), and 6 g/L yeast extract (Tastone 154), resulted in the production of 12 g/L biomass. The addition of an additional 6 g/L Tastone 154 at 16 hours, resulted in the production of 18 g/L biomass.

15 **Example 5. Improved yield of Biomass from *M. alpina* - Second Run**

Experiments were carried out in an attempt to increase the biomass further by additional additions of Tastone 154. These experiments consisted of 2 X 20 L fermentations, of 168 hours residency. For both these fermentations, the initial glucose concentration was 100 g/L (as compared to 65 g/L for  
20 Example 4). One fermentor received 3 X 6 g/L additions of Tastone 154, and the other received 4 X 6 g/L additions. The yeast extract was made up as a concentrated solution, autoclaved, and added to the fermentor at various times post-sterilization.

To prepare the inoculum, working seeds (1 ml macerated mycelium)  
25 were inoculated into 2 flasks, each containing 50 ml of GYE medium (100 g/L Staleydex, 6 g/L Tastone 154), and grown for 4 days at 28°C and 150 rpm. After 4 days of growth, the broth contained pelleted biomass; pellets were 2-5 mm in diameter. The growth in these flasks was slower than expected, possibly

due to the higher concentration of glucose. The biomass was macerated for 2 X 3 secs in a Waring blender, and 25 ml of macerate was used to inoculate each of 2 X 2.8 L Fernbach inoculum flasks, 800 ml net volume. (In earlier experiments, 10 ml of macerate had been used. The amount of inoculum was increased, because of the lower biomass density in the seed flask, and because it was expected that growth may be slower in the Fernbachs, due to the higher glucose concentration.) The medium in the Fernbach flasks was dextrose (Staleydex) 100 g/L and yeast extract (Tastone 154), 8 g/L. The dextrose and yeast extract were autoclaved separately for 40 min. Seed fermentation temperature was maintained at 28°C and agitation at 100 rpm to 150 rpm.

After 44 hours culture in the Fernbach flasks, the inoculum was transferred to 2 X 20 L fermentors. The inoculum was in the form of very loose hyphal aggregates, and the biomass density was approximately 5.2 g/L.

Fermentors at stations 14 and 15, containing 1.6 kg (10%) dextrose  
15 (Staleydex), and Mazu 204 antifoam (1.6 g, dissolved in 12.5 L R.O. H<sub>2</sub>O),  
were sterilized for 45 min at 122° C. 800 ml of inoculum (5%) was then added  
to each fermentor (at 0 hours). Fermentor operating parameters were:

temperature: 28°C,  
pH: controlled at 5.5 with 2 N NaOH and 2N H<sub>2</sub>SO<sub>4</sub>,  
aeration: 0.5 VVM,  
back pressure: 0.2 bar,  
agitation (initial): 80 cm/sec, and  
D.O.: controlled above 40%.

Station 14: 3 X 6 g/L Tastone 154

25 Yeast extract (Tastone 154) was dissolved to a concentration of 96 g/L  
and autoclaved for 1 hr. Yeast extract feeds in 3 X 1 L amounts (1.8%), were  
made at 0, 20, and 26 hours.

At 15 hours, the DO dropped below 40% and agitation was increased incrementally to 175 cm/sec from 15 to 22 hours. DO was then controlled by amending the airflow with oxygen; oxygen was added to the airflow from 23

to 72 hours. Starting at 36 hours, the agitation was further increased to ensure proper mixing. By 48 hours, agitation had been increased to 200 cm/sec; by 72 hours, to 250 cm/sec; and by 80 hours, to 280 cm/sec. At 120 hours, agitation was increased to 290 cm/sec to promote adequate temperature control. At 144 hours, agitation was reduced to 280 cm/sec.

Station 15: 4 X 6 g/L Tastone 154

Yeast extract (Tastone 154) 384 g was dissolved in 96 g/L, and autoclaved for 1 hr. Additions of yeast extract in 4 X 1 L amounts (2.4%) were made at 0, 20, 26, and 32 hours.

At 16 hours, DO dropped below 40% and agitation was increased incrementally to 175 cm/sec by 23 hours. DO was then controlled above 40% by amending the airflow with oxygen; oxygen was added to the airflow from 23 to 72 hours. Starting at 36 hours, the agitation was further increased to ensure proper mixing. By 48 hours, agitation had been increased to 210 cm/sec; by 72 hours, to 260 cm/sec; and by 80 hours to 290 cm/sec. At 90 hours, the agitation was reduced to 280 cm/sec, and at 144 hours, it was reduced to 260 cm/sec.

Observations:

At inoculation, the biomass in both fermentors was in the form of very loose, feathery, hyphal aggregates. By 24 hours, pellets began to form. The pellets were small (1-3 mm), with small central cores and wide loose peripheries. At 48 hours, the pellets were larger, and better defined. By 72 hours, the peripheries were narrower, and the presence of many loose hyphal fragments indicated that the pellets were fragmenting. By 168 hours, pellet cores were 0.5 to 2 mm in diameter, the peripheries were reduced with the hyphae aggregating into thick strands, and there were many condensed hyphal aggregates.

The fermentors foamed only slightly for the first 24 hours. The amount

of foaming then increased, and was controlled by manual addition of antifoam when the foam head was greater than 2-4 cm. Foaming had subsided somewhat by 48 hours, although there were sporadic outbreaks. Both fermentors foamed into the exit filters once during the course the fermentations. The fermentations  
5 required approximately 150 ml of antifoam.

Both fermentors accumulated a considerable amount of accreted biomass in the headspace. This is not an uncommon problem with mycelia fermentation in small fermentors with large surface area/volume ratio. The amount of accreted biomass in Stn 15 appeared to increase during the last 24 hours, when  
10 the lowered volume level resulted in a considerable amount of splashing (the liquid level was approaching the top impeller). The final volume in the fermentors after 168 hours was approximately 13 L.

Microscopic examination showed that, by 72 hours, much debris was present in the culture broth, and there was some evidence of damaged and  
15 atrophied fungal tips. The presence of oil droplets in the cytoplasm was demonstrated by nile red staining at 168 hours. The oil droplets were very small and numerous, in contrast to the large oil drops sometimes seen. Biomass and oil yield, along with carbon and nitrogen utilization are shown in Table 3.

Table 3 Fermentation Time Course

Stn 14						
3 X 6 g/L YE						
Log Hour	Glucose	NH3	Dry Wt	oil content	ARA content	productivity
	(g/L)	(mM)	(g/L)	(% dry wt)	(% of oil)	(g oil/L/d)
5	105.0	3.0	0.4			
	97.4	5.9	3.3	4.8%	23.5%	0.16
	73.7	0	18.3	7.9%	23.4%	0.72
10	72	60.3	0	21.0	14.4%	25.4%
	48.0	0	22.3	18.3%	27.5%	1.02
	40.0		25.2	21.1%	29.4%	1.06
	34.7		26.6	21.8%	30.9%	0.97
	29.0		27.5	26.1%	31.3%	1.03
15						
Stn 15						
4 X 6 g/L YE						
Log Hour	Glucose	NH3	Dry Wt	oil content	ARA content	productivity
	(g/L)	(mM)	(g/L)	(% dry wt)	(% of oil)	(g oil/L/d)
20	109.0	2.9	0.4			
	103.0	5.1	3.4	4.3%	21.9%	0.15
	74.1	0.3	23.6	6.8%	23.1%	0.80
	51.4	0	29.8	10.3%	23.9%	1.02
	40.0	0	32.7			
25	120	27.9	31.7	18.2%	26.6%	1.15
	19.8		33.5	20.7%	28.1%	1.16
	11.0		29.9	21.7%	29.9%	0.93

30 Example 6. Improved yield of biomass from *M. alpina* - Third Run

This set of experiments attempted to further increase the amount of product obtained by increasing the levels of phosphate and minerals. The procedure was essentially that of Example 5, except that the dextrose and Mazu 204 antifoam

were dissolved in 11.5 L of R.O. H<sub>2</sub>O, rather than 12.5 L, to leave room for the salt solutions which were added at 30 hours. Stn. 14 received additional Fe, Zn, and Cu; Stn 15 received additional phosphate, as well as Fe, Zn, and Cu.

Station 14: 3 X 6 g/L Tastone 154

5 Yeast extract was dissolved at 96 g/L, in 3 X 1 L amounts, and autoclaved for 1 hr. One liter aliquots of the yeast extract solution were added at 0, 22, and 28 hours. At 22 and 28 hours, the carbon dioxide evolution rate (CER, an indication of the metabolic rate in the fermentor) was increasing exponentially, and the fermentation had just started calling for base.

10 The salts feed contained:

	FeCl <sub>3</sub> 6H <sub>2</sub> O 4	8	0
	mg		
	ZnSO <sub>4</sub> 7H <sub>2</sub> O 2	4	0
	mg		
15	CuSO <sub>4</sub> 5H <sub>2</sub> O 1	6	
	mg		

The FeCl<sub>3</sub> was dissolved in 1 L of 5 g/L citric acid. The remaining salts were added, and the pH adjusted with NaOH to 4.5. The solution was autoclaved for 1 hour. The salts feed was added at 30 hours.

20 The initial agitation rate for the fermentor was 50 cm/sec, rather than 80 cm/sec, as originally planned, because the initial level of liquid in the fermentor (13 L) resulted in top impeller being just barely submerged, and the higher agitation rate resulted in significantly more splashing. At 16 hours, the D.O. dropped below 40%, and agitation was increased incrementally to 175 cm/sec  
25 by 28 hours. D.O. was then controlled above 40% by amending the airflow with oxygen. At 46 hrs, the agitation was increased to 190 cm/sec to allow for mixing. Agitation was further increased to 200 cm/sec by 48 hours, to 220 cm/sec by 51 hours, to 235 cm/sec by 53 hours, to 250 cm/sec by 56 hours, to

260 cm/sec by 57 hours, and to 280 cm/sec at 70 hours. Even at this agitation rate (450 rpm), mixing was poor. While a minimal criteria of 'some movement' was maintained, the turnover of biomass was very slow, and some areas approached stagnation. The addition of a few drops of antifoam reduced the 5 foam head, and removed stagnant pockets. At 116 hours, the agitation was reduced to 265 cm/sec, and at 120 hours, it was further reduced to 250 cm/sec.

The fermentor started to foam at approximately 18 hours. Foaming was controlled by manual addition of antifoam. Antifoam was first added at 20 hours. By 24 hours, the fermentation was foaming significantly, and required 10 the regular addition of antifoam. By 72 hours, the foaming had, for the most part subsided. However, the fermentation still required the occasional addition of antifoam.

By 24 hours, the biomass was in the form of very loose pellets (1-2mm) and loose hyphal aggregates. There was a considerable amount of cellular 15 debris. By 48 hours, the biomass was in the form of very loose hyphal aggregates, very small pellets (1-2 mm) with very small cores and loose peripheries, and small compact pellets (1-3) without loose peripheries. By 96 hours, the biomass was in the form of compact, round pellets (1-2 mm), needle shaped pellets (less than 0.5 mm), and loose hyphal aggregates. Nile red 20 staining at 144 hours showed the presence of many, very small oil drops in the mycelia.

Station 15: 3 X 6 g/L Tastone 154

Yeast extract was dissolved at 96 g/L, and autoclaved for 1 hr. The yeast extract solution was added in 3 X 1 L amounts at 0, 22, and 26 hours. At 22 25 and 26 hours, the CER was increasing exponentially, and the fermentation had just started calling for base.

A salt feed was prepared containing:

		KH <sub>2</sub> PO <sub>4</sub>	7	
5	g	FeCl <sub>3</sub> 6H <sub>2</sub> O	4	8
	mg	ZnSO <sub>4</sub> 7H <sub>2</sub> O	2	0
	mg	CuSO <sub>4</sub> 5H <sub>2</sub> O		6
10	mg		1	

The FeCl<sub>3</sub> was dissolved in 500 ml of 5 g/L citric acid. The remaining salts were added, and the pH adjusted with NaOH to 4.5. The KH<sub>2</sub>PO<sub>4</sub> was dissolved in 500 ml R.O. water. Both solutions were autoclaved for 1 hour, and  
15 then cooled to 23 C, before being combined and added to the fermentor at 30 hours.

The initial agitation rate in the fermentor was 50 cm/sec, rather than 80 cm/sec, as originally planned, because the initial level of liquid in the fermentor (13 L) resulted in top impeller being just barely submerged, and the higher  
20 agitation rate resulted in significantly more splashing. At 16 hours, the D.O. dropped below 40%, and agitation was increased incrementally to 175 cm/sec by 27 hours. D.O. was then controlled above 40% by amending the airflow with oxygen. At 41 hours, agitation was increased to 200 cm/sec, to allow for at least a minimal amount of mixing. Agitation was further increased to 220  
25 cm/sec at 42 hrs, to 230 cm/sec at 46 hours, at 235 cm/sec at 51 hrs, and to 240 cm/sec at 70 hours. At this agitation rate (410 rpm), mixing was only poor to fair. A minimal level of biomass movement was maintained. At 80 hours, agitation was reduced to 205 cm/sec.

The fermentor started to foam at approximately 18 hours. Foaming was  
30 controlled by manual addition of antifoam. Antifoam was first added at 17 hours. By 20 hours the fermentation was foaming significantly, and it required the regular addition of antifoam. The foaming had largely subsided by 72 hours.

However, the fermentation still required the occasional addition of antifoam.

By 24 hours, the biomass was in the form of very loose pellets (1-2mm) and loose hyphal aggregates. There was a considerable amount of cellular debris. By 48 hours, the biomass was in the form of very loose hyphal  
5 aggregates, very small pellets (1-2 mm) with very small cores, and loose peripheries, and small compact pellets (1-3) without loose peripheries. By 96 hours, the biomass was in the form of round pellets, 1-2 mm in diameter, many with loose, hairy peripheries, and many loose hyphal fragments. Nile Red staining at 144 hours showed the presence of many, very small oil drops in some  
10 mycelia, and also the presence of very large oil drops throughout other mycelia.

Stn 15, which differed from Stn 14 only by the addition of phosphate, showed better mixing throughout the fermentation, at generally lower agitation rates. Stn 15 also exhibited a 'looser' biomass morphology. Biomass and oil yield, as well as carbon utilization are shown in Table 4. Greater glucose  
15 utilization (82 g/L for Stn 15 compared to 64 g/L for Stn 14), higher biomass accumulation, and presence of large oil drops in portions of the mycelia characterized the fermentor containing higher phosphate.

Table 4 Fermentation Time Course

Stn 14					
+ Salts					
Log Hour	Glucose	Dry Wt	oil content	ARA content	productivity
	(g/L)	(g/L)	(% dry wt)	(% of oil)	(g oil/L/d)
0	116.0	1.1			
24	101.0	1.8	1.2%	22.2%	0.02
48	84.0	14.3	6.2%	24.7%	0.44
72	60.0	24.5	10.6%	24.2%	0.87
96	45.0	28.2	15.5%	25.3%	1.09
120	34.0	28.9	18.1%	26.6%	1.05
144	27.0	30.8	20.8%	27.2%	1.07
Stn 15					
+ Salts + Phosphates					
Log Hour	Glucose	Dry Wt	oil content	ARA content	productivity
	(g/L)	(g/L)	(% dry wt)	(% of oil)	(g oil/L/d)
0	113.0	0.4			
24	101.0	2.1	1.1%	24.0%	0.02
48	74.0	21.7	8.1%	24.7%	0.88
72	51.0	26.2	19.9%	26.5%	1.74
96	31.0	30.1	25.5%	28.6%	
120	18.0	33.8	31.7%	31.4%	2.14
144	6.0	34.5	36.0%	32.9%	2.07

25

**Example 7. Large Scale Production of *M. alpina* biomass containing Arachidonic Acid**

A seed fermentor containing GYE medium (50 g/L dextrose and 6 g/L Tastone 154) is inoculated from propagation fermentor. Temperature of 28°C is maintained and initial agitation set to 130-160 cm/sec (about 43 rpm). Initial

vessel pressure is 6 psi, and initial aeration rate set at 0.25 VVM. pH is adjusted to 5.0 presterilization, then initial fermentor pH is set to 5.5 post-sterilization. Oxygen level in the medium is maintained D.O.  $\geq$  40% by the following sequence: (I) increase vessel pressure to 11 psi, (ii) increase agitation 5 from 156 to 175 cm/sec impeller tip speed, and (iii) increase aeration to 0.5 VVM. Foaming is controlled by addition of antifoam Dow 1520-US, as needed. (Approximately 0.1 ml/L of the antifoam should be added to the medium prior to sterilization to help prevent foaming.) After inoculation, the culture is maintained at pH  $\geq$  5.5 with 8N NaOH.

10 Within 12 hours after pH rises above 6.0, the contents of the seed fermentor are transferred to the main fermentor. The main fermentor medium contains

15           80 g/L dextrose (ADM)  
          16 g/L soy flour (ADM nutrisoy)  
          30 mg/L FeCl<sub>3</sub>•6H<sub>2</sub>O (Sigma/Aldrich)  
          1.5 mg/L ZnSO<sub>4</sub>•7H<sub>2</sub>O (Sigma/Aldrich)  
          0.1 mg/L CuSO<sub>4</sub>•5H<sub>2</sub>O (Sigma/Aldrich)  
          1 mg/L biotin (Sigma/Aldrich)  
          2 mg/L thiamine•HCl (Sigma/Aldrich)  
20            2 mg/L pantothenic acid (hemicalcium salt) (Sigma/Aldrich).  
                 (Adjust to pH 4.8-5.0 pre-sterilization.)

Inoculate main fermentor with Seed fermentor (11.8%). Fermentor temperature is kept at 28°C. Initial agitation is set to 162 cm/sec (ca. 23 rpm), 25 the initial vessel pressure to 6 psi, and the initial aeration rate to 0.15 VVM (ca. 300 scfh).

Oxygen level in the medium is maintained at D.O.  $\geq$  40% by I) increasing vessel pressure to 11 psi, ii) increasing agitation to 300 cm/sec impeller tip speed (in increments of ca. 30 cm/sec), and iii) increasing aeration 30 to 0.5 VVM.

pH is profiled according to the following pH control protocol:

- Initial pH set to 5.5 post-sterilization. Maintain pH at  $\geq$  5.5 with 8N NaOH.
- At 24-36 hours after inoculation add the following:  
5            2 g/L KH<sub>2</sub>PO<sub>4</sub> (110 kg in ca. 700 L H<sub>2</sub>O).
- At 48 hours, if dextrose concentration is  $\leq$  60 g/L, change pH set point to  $\geq$  6.1.
- At 72 hours, begin to slowly raise the pH set point to  $\geq$  6.6 at a rate of ca. 0.1 pH units per hour.  
10            • Maintain pH below 7.3 with H<sub>2</sub>SO<sub>4</sub> addition if necessary.

Fermentor is sampled every 12 hours for biomass and fatty acid analysis, and harvest is begun approximately 3 days after raising pH to  $\geq$  6.6 (about 6 days after inoculation). Dry biomass density should be  $\geq$  24 g/L. Dextrose  
15            concentration in the broth should have dropped from 80 g/L to  $\leq$  14 g/L.

Harvest is performed by passing the whole culture broth through a rotary vacuum filter to separate the mycelia from the spent medium.

The results of two typical fermentation runs according to the procedure of this Example are shown in Tables 5 and 6.

Table 5. Progress of *M. alpina* Fermentation

Culture mediums = Glucose (80g/L) + Soy Flour (16g/L) + Salts + Vitamins						
log hour	Glucose (g/L)	NH3 (mM)	dry wt. (g/L)	oil content (% of dry wt)	ARA content (% of oil)	Productivity (g oil/L/d)
0	58.0					
66	43.0		12.6	14.9%	33.7%	0.68
94	33.0		17.0	27.0%	40.0%	1.17
118	23.0		20.6	28.2%	42.6%	1.18
142	16.0		17.1	39.2%	44.2%	1.13
165	9.6		21.5	41.5%	45.5%	1.30
188	5.2		19.8	41.7%	47.3%	1.05
215	1.7		23.2	46.0%	48.9%	1.19
237	0.2		23.1	44.8%	51.2%	1.05

Table 6. Progress of *M. alpina* Fermentation

Culture medium = Glucose (65g/L) + Soy Flour (16g/L) + Salts + Vitamins + Antibiotics						
log hour	Glucose (g/L)	NH3 (mM)	dry wt. (g/L)	oil content (% of dry wt)	ARA content (% of oil)	Productivity (g oil/L/d)
0						
65	36.0		13.0	8.2%	29.0%	0.39
90	23.0		12.0	18.0%	42.0%	0.58
115	15.0		14.0	30.0%	47.0%	0.88
139	9.0		15.0	32.0%	51.0%	0.83
171	4.0		17.0	36.0%	55.0%	0.86
209	1.4		12.0	36.0%	57.0%	0.50
243	0		14	37.0%	60.0%	0.51
187	0		13	34.0%	64.0%	0.57

**CLAIMS:**

1. An unmodified fungal triglyceride oil comprising at least about 40% ARA in the triglyceride and no more than one tenth as much EPA as ARA.
2. An unmodified fungal triglyceride oil according to claim 2, the oil comprising at least 40% ARA in the triglyceride and essentially no EPA.
3. The unmodified fungal triglyceride oil of claim 1 or 2, wherein the oil contains at least 50% ARA.
4. An oil in accordance with any one of claims 1-3, wherein the fungus is *Mortierella sp.*
- 10 5. The oil of claim 4, wherein the fungus is *M. alpina*.
6. A method for the production of an arachidonic acid-containing oil, said oil containing triglycerides wherein at least 25% of the fatty acid residues are ARA, and the amount of EPA residues in the oil is no more than one-fifth the amount of ARA residues, comprising
- 15 (a) cultivating *Mortierella sp.* in an aerated fermentor containing culture medium wherein a carbon source in an amount equivalent to at least 80 g/L glucose and a nitrogen source in an amount equivalent to at least 15 g/L yeast extract are added to the culture medium over the course of the fermentation;
- 20 (b) maintaining the pH between 5 and 6 at the beginning of the cultivation;
- (c) maintaining the pH between 7 and 7.5 at the end of the cultivation;
- (d) harvesting biomass from the fermentor and recovering said arachidonic acid-containing oil from said biomass.
- 25 7. The method of claim 6, wherein the dissolved oxygen level in the culture medium is at least 35% of the air saturation level.
8. The method of claim 6, wherein the nitrogen source is divided into two or more aliquots which are fed into the fermentor at different times, at least

one aliquot being fed into the fermentor at a time different from the time the carbon source is placed into the fermentor.

9. The method of any one of claims 6-8, wherein the *Mortierella sp.* is *M. alpina*.

5 10. The method according to any one of claims 6-9, further wherein crude arachidonic acid-containing oil is recovered from the biomass by extraction with a non-polar solvent and the crude oil is clarified by extraction with a polar organic solvent.

11. The method of claim 10, wherein the non-polar solvent is hexane.

10 12. The method of claim 10, wherein the polar solvent is selected from the group consisting of acetone, ethanol and isopropyl alcohol.

13. A method of providing triglyceride containing ARA to an infant formula which comprises adding an unmodified fungal triglyceride oil comprising at least 40% ARA and comprising no more than one fifth as much 15 EPA as ARA to an infant formula in an amount sufficient to provide an ARA content which corresponds to the amount of ARA in human breast milk.

14. A method in accordance with claim 13, wherein said oil is produced by a species of *Mortierella*.

15. A method in accordance with claim 14, wherein said oil is 20 produced by *Mortierella alpina*.

16. A method in accordance with any one of claims 13-15, wherein said oil comprises no more than one tenth as much EPA as ARA.

17. A method in accordance with any one of claims 13-16, wherein said oil comprises essentially no EPA.

25 18. A method in accordance with any one of claims 13-17, wherein said oil comprises 50% ARA.

19. Infant formula comprising triglyceride containing ARA in an amount comparable to the amount in human breast milk wherein the ARA is

provided by adding to infant formula a sufficient amount of an unmodified fungal oil comprising triglyceride containing at least 40% ARA and no more than one fifth as much EPA as ARA.

20. Infant formula in accordance with claim 19, wherein the  
5 unmodified fungal oil comprises no more than one tenth as much EPA as ARA.

21. Infant formula in accordance with claim 20, wherein said fungal oil  
is essentially free of EPA.

22. Infant formula in accordance with any one of claims 19-21, wherein  
the unmodified fungal oil comprises triglyceride containing at least 50% ARA.

10 23. A method of providing a human with supplemental arachidonic acid  
(ARA) comprising administering to a human in need of supplemental ARA a  
composition containing unmodified fungal oil containing ARA in the form of  
triglyceride, said oil containing at least 40% ARA and no more than one fifth  
as much eicosapentaenoic acid (EPA) as ARA, wherein said oil is present in an  
15 amount effective to provide supplemental ARA to said human. 2 4

The method of claim 23, wherein the oil contains at least 50% ARA.

25. The method of claim 23 or 24, wherein said composition is  
administered to the human in an amount that supplies 0.2-0.8 g ARA/day.

26. The method according to any one of claims 23-25, wherein said  
20 composition is administered enterally.

27. The method according to any one of claims 23-25, wherein said  
composition is administered parenterally.

28. The method according to any one of claims 23-25, wherein said  
composition is administered topically.

25 29. The method according to any one of claims 23-25, wherein said  
human is a pregnant or nursing woman.

30. The method according to any one of claims 23-25, wherein said  
human in need of supplemental ARA is suffering from a neurological disorder.

31. The method according to claim 30, wherein the neurological disorder is tardive dyskinesia, schizophrenia, or a peroxisomal disorder.

32. The method according to any one of claims 23-25, wherein said human in need of supplemental ARA is suffering from a disease condition  
5 associated with reduced serum level of ARA.

33. The method according to claim 32, wherein the disease is a liver disease, phenyl ketonuria or cystic fibrosis.

34. A cosmetic composition comprising unmodified fungal oil containing ARA in the form of triglyceride, said oil containing at least 40%  
10 ARA and no more than one fifth as much eicosapentaenoic acid (EPA) as ARA, wherein said oil is present in said composition in an amount effective to assist in maintaining skin tone when said composition is applied topically. 3 5 .

The composition of claim 34, wherein the oil contains at least 50% ARA.

## INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 96/00182

A. CLASSIFICATION OF SUBJECT MATTER				
IPC 6	C12P7/40	C12P7/64	C11C3/00	C11C3/02 A61K31/20
	A61K31/23	A61K7/00	A61K7/48	//(C12P7/40,C12R1:645), (C12P7/64,C12R1:645)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12P C11C A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,92 13086 (MARTEK CORP.) 6 August 1992 see page 15 - page 18; claims 1-56 ---	1-35
X	DE,A,36 03 000 (MILUPA AG) 6 August 1987 cited in the application see claim 1 ---	1-5, 19-33
X	KYLE, D. ET AL: "Ind. Appl. Single Cell Oils" 1992 , AOCS , CHAMPAIGN, ILL. XP002003840 see page 118 - page 138 ---	1-34
A	EP,A,0 332 423 (SUNTORY LTD.) 13 September 1989 see the whole document -----	6-12

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*'A' document defining the general state of the art which is not considered to be of particular relevance
- \*'E' earlier document but published on or after the international filing date
- \*'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*'O' document referring to an oral disclosure, use, exhibition or other means
- \*'P' document published prior to the international filing date but later than the priority date claimed

- \*'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*'&' document member of the same patent family

Date of the actual completion of the international search

24 May 1996

Date of mailing of the international search report

07.06.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+ 31-70) 340-3016

Authorized officer

Douschan, K

## INTERNATIONAL SEARCH REPORT

Information on patent family members

National Application No

PCT/US 96/00182

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-9213086	06-08-92	AU-B-	661674	03-08-95
		AU-B-	1235592	27-08-92
		BR-A-	9205519	01-03-94
		CA-A-	2101273	25-07-92
		EP-A-	0568608	10-11-93
		IL-A-	100732	29-06-95
		JP-T-	6505384	23-06-94
		NZ-A-	241358	27-09-94
		OA-A-	9909	15-09-94
DE-A-3603000	06-08-87	EP-A,B	0231904	12-08-87
EP-A-0332423	13-09-89	JP-A-	1228486	12-09-89
		AT-T-	129015	15-10-95
		DE-D-	68924492	16-11-95
		DE-T-	68924492	21-03-96
		US-A-	5128250	07-07-92
		US-A-	5023177	11-06-91

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**